

# COMPLIANCE BASICS

APRIL 24,  
2025  
VIRTUAL



This half-day session is designed for those bankers who are new to the world of banking compliance – which can be overwhelming and in a constant state of change. Topics to be covered include:

- Who are the regulators – which state and federal agencies impact banking?
- State Issues – laws and regulations
- Federal Issues – laws and regulations
- Banking Compliance Terminology
- Resources – where to find all this stuff!
- Compliance vs. Legal vs. Bank Policies/ Procedures/Systems
- Common Violations
- Priorities
- What I wish I'd known when I was a new compliance officer!

## Schedule

8 a.m	Zoom Waiting Room Opens
8:30 a.m.	Seminar begins
Noon	Adjournment



## Virtual Live Format

Attendees will need internet access and a standard web browser to join this video and web conferencing. Attendees will receive an email with a link to join the virtual seminar, handouts and any additional information a few days before the event.

A personal Zoom account is not necessary. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the zoom app on a smart device.
- Audio on computer or a phone line
- Recording available to all access registrants

## Speakers

**Carol Barnett**, Senior Vice President,  
Compliance Services,  
Missouri Bankers Association

**Bryan Bradley**, Vice President,  
Compliance Services,  
Missouri Bankers Association

**Gina Jolly**, Vice President,  
Compliance Services,  
Missouri Bankers Association

**Brent Irwin**, Assistant Vice President,  
Compliance Services,  
Missouri Bankers Association




# REGISTRATION REQUEST – COMPLIANCE BASICS – APRIL 24, 2025

## Registration Information

**Member Fee**.....\$175  
**All Access Fee** (*includes recording*)  
-Up to 5 Registrations.....\$500  
-6 or More Registrations.....\$750  
**Non-member Fee**.....\$700  
**Compliance Force**.....No Charge

Cancellation/Refunds: full refunds will be granted for cancellations received by MBA at least 10 days before the seminar date. After that date, an administrative fee of \$10 per canceled registration will be retained. Cancellations will not be accepted four days before the seminar. Substitutions are always permitted.

## Three Ways to Register

-  573-636-8151
-  [mobankers.com](http://mobankers.com)
-  Mail check payable to Missouri Bankers Association and form to:  
Missouri Bankers Association  
P.O. Box 57  
Jefferson City, MO 65102

## Compliance Force

Bankers from Compliance Force subscriber banks attend at no charge and do not need to register.

## Bill to

Bank \_\_\_\_\_  
Address \_\_\_\_\_  
City/State/ZIP \_\_\_\_\_  
Phone \_\_\_\_\_

## Names of Attendees

Name \_\_\_\_\_  
Title \_\_\_\_\_  
Email \_\_\_\_\_

Name \_\_\_\_\_  
Title \_\_\_\_\_  
Email \_\_\_\_\_

Name \_\_\_\_\_  
Title \_\_\_\_\_  
Email \_\_\_\_\_

## Method of Payment

**Member Fee**.....\$175 # \_\_\_\_\_ \$ \_\_\_\_\_  
**All Access Fee**.....  
-Up to 5 Registrations.....\$500 \$ \_\_\_\_\_  
-6 or More Registrations.....\$750 \$ \_\_\_\_\_  
**Non Member**.....\$700 # \_\_\_\_\_ \$ \_\_\_\_\_  
**Compliance Force**.....No Charge  
**Total amount due**.....\$ \_\_\_\_\_

Check enclosed, payable to:

*Missouri Bankers Association*

Invoice the Bank

Credit Card Payment **(Please type.)**

Exp. Date \_\_\_\_\_

No \_\_\_\_\_

CVV \_\_\_\_\_ ZIP \_\_\_\_\_

Print Name \_\_\_\_\_

Signature \_\_\_\_\_